

# Romano Brothers & Co. Fee Schedule

## Custodial Fees

Fee Description	Amount Charged to Client
ACATS - Customer Account Termination	\$95.00
Cashless Stock Option Exercise	\$100.00
Certificate Delivery	\$20.00 per certificate
Confirm Handling Charge	\$3.75
Cost Basis Accounting on Customer Statements	--
Customer Online Account Access	--
Dividend Reinvestment Fee	--
DK'd DVP Trades	--
Extensions for Late Payments	\$25.00 per transaction
Foreign Security Transactions (Delivery/Receipt Purchase/Sale)	\$80.00 surcharge
Inactive Account Custody Fee	\$50.00 per year **
Individual 401k - Annual Custodial Fee	\$250.00
Individual 401k - Set Up Fee	\$100.00
Individual 401k - Termination Fee	\$100.00
Interested Party Duplicate Confirm/Statement	\$25.00/yr per interested party
IRA – Set up Fee	\$45.00
IRA/QRP - Annual Custodial Fee	\$45.00
IRA/QRP - Termination Fee	\$95.00
Overnight Mail	\$25.00
Prepayment of Sale Proceeds	\$15.00 plus interest
Qualified Retirement Plan (QRP) - Setup Fee	\$75.00
Realized Gain/Loss on Customer Statements	--
Reg T Extensions	\$25.00 per transaction
Returned Checks (NSF)	\$40.00 plus interest
Safekeeping (Customer Name)	\$50.00 per Security per year
Second Party Checks	--
Security Certificate Transfer of Ownership (Including Legal Items)	\$30.00 plus pass through costs
Undeliverable Abandoned Account Fee	\$25.00 per quarter
Voluntary Tender Offer (Death Puts (Early CD Redemption))	\$40.00 per issue per account
Wire Transfer - Domestic	\$25.00
Wire Transfer - International	\$40.00

\*\*No trades for the prior 12 months.

## Administrative Fees

Fee Description	Amount Charged to Client
Administrative Research	\$75.00 per hour
Bill Paying Service / Auto Pay set up	\$20.00 per item
Estate Valuation	\$10.00 per cusip