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**Romano Brothers & Co.
Business Continuity Plan Summary Notice**

Pursuant to FINRA and SEC regulation, Romano Brothers & Co. has a Business Continuity Plan (BCP) in place to prepare for the possibility of future significant business disruptions (SBD) ranging in severity from a firm only disruption to a regional disruption. Romano Brothers & Co.'s recovery time from a SBD will depend on the severity and significance of the event.

Romano Brothers & Co. has made arrangements to operate from other sites in Chicago and surrounding suburbs in the case of a local SBD. In case of a regional SBD, employees will operate from an alternate location.

If at all possible, Romano Brothers & Co. will try to maintain telephone and e-mail communications and will try to have office space available for in-person visits. Romano Brothers & Co. will post contact information on our corporate website at www.romanowealth.com.